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UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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FORM 19b-4(e)

JUL 182018

Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

## READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

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## **Initial Listing Report**

- 1. Name of Self-Regulatory Organization Listing New Derivative Securities Product: Nasdaq BX, Inc. (traded pursuant to unlisted trading privileges)
- 2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):

  Open End Management Investment Company
- 3. Class of New Derivative Securities Product: Exchange Traded Fund

4. Name of Underlying Instrument:

Morningstar Japan Target Market Exposure Index

18002895

- If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: Broad-based
- 6. Ticker Symbol(s) of New Derivative Securities Product: BBJP
- 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: Listed on: Tokyo Stock Exchange
- 8. Position Limits of New Derivative Securities Product (if applicable):

Regular way trades settle on T + 2 (cash settled)

Position Limits of New Derivative Securities Product (if applicable):
 N/A

## Part II

## Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:

William Slattery

Title:

**Vice President** 

Telephone Number: +1 301 9788088

Manual Signature/of Afficial Responsible for form:

Date: July 16, 2018

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SEC 2449 (6-01)

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